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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/046,566

10/19/2001

Felix Chow

388682000900

**CONFIRMATION NO. 1782** 

Date Mailed: 02/19/2002

**FORMALITIES LETTER** 

OC000000007497802\*

Richard C. Kim Morrison & Foerster LLP Suite 500 3811 Valley Centre Drive San Diego, CA 92130-2332

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$456.
  - \$288 for 16 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1326.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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March 29, 2002

Date

PTO/SB/17 (09-00)

proved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Complete if Known					
FEE TRANSMITTAL	Application Number	10/046,566				
/ FOR FY 2002	Filing Date	October 29, 2001 Felix Chow				
( APR 1 1 2002 🗒	First Named Inventor					
Par de la companya de	Examiner Name	To be Assigned				
RADEMARY Patent fees are subject to annual revision.	Group Art Unit	2183				
TOTAL AMOUNT OF PAYMENT	(\$)1,242.00	Attorney Docket No.	388682000900			

			Alloniey	JOCKEL ING.	300002500300	
METHOD OF PAYMENT		FEE CAL			ULATION (continued)	
1.   The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDIT	3. ADDITIONAL FEES				
Deposit Account Number  Deposit Deposit	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description Fee Page 1	
Account Morrison & Foerster LLP Name	105	130	205	65	Surcharge - late filing fee or oath \$130	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification	
2. Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920*	112	920°	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	
	116	400	216	200	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee	117	920	217	460	Extension for reply within third	
Paid	118	1,440	218	720	Extension for reply within fourth month	
- 101 740 201 370 Utility filing fee 740	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee	121 138	280 1,510	221 138	140 1,510	Request for oral hearing Petition to institute a public use	
· L	140	110	240	55	proceeding Petition to revive - unavoidable	
SUBTOTAL (1) (\$)740.00	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Fee from Fee Paid Claims below	143	460	243	230	Design issue fee	
Total Claims * 36-20 = 16 x 18 = \$288.00	144	620	244	310	Plant issue fee	
Independent 4-3 = 1 x 84 = \$84.00	122	130	122	130	Petitions of the Commissioner	
Multiple Dependent = \$	123	50	123	50	Petitions related to provisional applications	
2002 SSALEEKU 00000005 031952 10046566	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity 1 2 Fee Fig. 00 Fee Fee Description Code (s)	581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claims, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 "Reissue Independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other for f	enoni6/\				
SUBTOTAL (2) (\$)372.00		Other fee (specify)				
** or number previously paid, if greater; For relssues, see above.	- Reduced b	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) . (\$)130				
SUBMITTED BY					Complete (if applicable)	
Name (Print/Type) Richard C. Kim		ration No nev/Ager		40,046	Telephone (858) 720-5142	

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Signature

W-V

Please type Pplus sign (+) inside this box →



PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

Please type a plus sign (+) inside this DOX - U.S. Patent and Trademark Office; U.S. DEFARTIMENT OF COMMENT OF TRADE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/046,566 TRANSMITTAL **Filing Date** October 19, 2001 **FORM First Named Inventor Felix Chow Group Art Unit** 2183 (to be used for all correspondence after initial filing) **Examiner Name** To be Assigned Total Number Of Pages In This Submission Attorney Docket No. 388682000900 7

ENCLOSURES (check all that apply)							
Fee Transmittal Form		Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition		Proprietary Information			
Affidavits/declara	ations	Petition to Convert to a Provisional Application		Status Letter -			
Extension of Time Reque	est	Power of Attorney, Revocation Change of Correspondence Address	X	Other Enclosure(s) (please identify below):			
Express Abandonment F	Request for Refund			<ol> <li>Declaration for Utility Patent Application (3 pages);</li> <li>Copy of Notice To File Missing Parts of Nonprovisional Application (1 page);</li> <li>Return Postcard</li> </ol>			
Certified Copy of Priority  Response to Missing Par Incomplete Application  Response to Missing Par Incomplete Application  Response to Missing Par Incomplete Application	esing Parts	rks		·			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT							
or Reg	Richard C. Kim Reg. No. 40,046 MORRISON & FOERSTER LLP						
Signature Richard C. Kin							
Date Mar	rch <u>29</u> , 2002						

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 27, 2002.

Marian Christopher

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.